

## Electronic Acknowledgement Receipt

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|---|---|
| <b>EFS ID:</b>                              | 8062760                                       |
| <b>Application Number:</b>                  | 10767546                                      |
| <b>International Application Number:</b>    |   |
| <b>Confirmation Number:</b>                 | 6583  |
| <b>Title of Invention:</b>                  | System and method for routing a trading order |
| <b>First Named Inventor/Applicant Name:</b> | Thomas J. Daley                               |
| <b>Customer Number:</b>                     | 63710   |
| <b>Filer:</b>                               | Glen Farbanish/Laura Alto                     |
| <b>Filer Authorized By:</b>                 | Glen Farbanish                                |
| <b>Attorney Docket Number:</b>              | 04-6163                                       |
| <b>Receipt Date:</b>                        | 21-JUL-2010                                   |
| <b>Filing Date:</b>                         | 29-JAN-2004                                   |
| <b>Time Stamp:</b>                          | 16:29:14                                      |
| <b>Application Type:</b>                    | Utility under 35 USC 111(a)                   |

### Payment information:

|  |                 |
|--|-----------------|
| Submitted with Payment                   | yes             |
| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$ 180          |
| RAM confirmation Number                  | 2811            |
| Deposit Account                          | 503938          |
| Authorized User                          |                 |

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## File Listing:

| Document Number                                 | Document Description                                 | File Name   | File Size(Bytes)/ Message Digest                  | Multi Part /.zip | Pages (if appl.) |
|---|--|---|---|------------------|------------------|
| 1   | Transmittal Letter                                   | 04-6163_100721_IDS.pdf                              | 43219<br>aced9b3048bd557803c31a184cbb181ac5728fe  | no               | 5                |
| <b>Warnings:</b>                                |  |   |   |                  |                  |
| <b>Information:</b>                             |  |   |   |                  |                  |
| 2   | Information Disclosure Statement (IDS) Filed (SB/08) | 04-6163_100721_1449.pdf                             | 24926<br>96ec005c05a70c02d41e31617935bdc1651ac5d  | no               | 1                |
| <b>Warnings:</b>                                |  |   |   |                  |                  |
| <b>Information:</b>                             |  |   |   |                  |                  |
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| 3   | NPL Documents  | AU_2005208979_Exam_Report_11-13-2009.pdf            | 112031<br>e9ed5d8e4f8dc7d3d85480ad7ecce748b8f5595 | no               | 2                |
| <b>Warnings:</b>                                |  |   |   |                  |                  |
| <b>Information:</b>                             |  |   |   |                  |                  |
| 4   | NPL Documents  | EP_06789488-1_Comm_Ext_Search_Report_07-06-2010.pdf | 466490<br>4fc391ebad945ba0e3e6540ba31445e37f9cc50 | no               | 10               |
| <b>Warnings:</b>                                |  |   |   |                  |                  |
| <b>Information:</b>                             |  |   |   |                  |                  |
| 5   | NPL Documents  | EP_06789490-7_Comm_Ext_Search_Report_07-07-2010.pdf | 352789<br>bca9cd91d8bc11d41d4459f37d9b89c05d22be  | no               | 8                |
| <b>Warnings:</b>                                |  |   |   |                  |                  |
| <b>Information:</b>                             |  |   |   |                  |                  |
| 6   | NPL Documents  | AU_2005208977_Exam_Report_11-20-2009.pdf            | 470409<br>5a7072a1bce9db6cd7c4c4cc73cc541e04d8c97 | no               | 8                |
| <b>Warnings:</b>                                |  |   |   |                  |                  |
| <b>Information:</b>                             |  |   |   |                  |                  |
| 7   | Fee Worksheet (PTO-875)                              | fee-info.pdf  | 29680<br>d1810c208ba4f9f68ca12a0a66448d45927821   | no               | 2                |
| <b>Warnings:</b>                                |  |   |   |                  |                  |
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| <b>Total Files Size (in bytes):</b>             |  |   | 1499544   |                  |                  |

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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